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App. no. 09/423,131
3815/90 #9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : KAWAKAMI, et al.
Application No. : 09/423,131 Examiner:
Filed : Nov. 5, 1999 Group Art Unit: 2736
Title : METHOD AND APPARATUS FOR TRAFFIC CONTROL

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Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

This is the fourth IDS filed by Applicants in this application.

Applicants respectfully submit herewith an Information Disclosure Statement (IDS), an Information Disclosure Citation List, and a copy of each document listed therein, pursuant to 37 C.F.R. §§ 1.97 and 1.98. The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

(x) This IDS is believed to be filed under 37 CFR 1.97(b), before the mailing date of a first Office Action on the merits.

In the event that a first office action has crossed in the mail with this IDS:

(x) This IDS is being filed under 37 CFR 1.97(c), after the period specified in 37 CFR 1.97(b), but before the mailing date of either a final action, a notice of allowance, or an action that otherwise closes prosecution in the application, and is accompanied by one of:

(x) a statement as specified in 37 CFR 1.97(e), or

() the fee set forth in 37 CFR 1.17(p).

Statement under 37 CFR 1.97(e)(1)

Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS.

In either case, no fee is due.

The references were brought to the applicants' attention in an office action (enclosed) mailed August 25, 2001 in a related Korean patent application. They are:

1. JP 9-298545
2. JP 9-181747
3. EP 554859

Although no fee is believed to be due, the Commissioner is hereby authorized to charge any fee to the undersigned attorney's Deposit Account No. 02-4270.

Respectfully submitted,

Dated: Oct. 24, 2001

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I hereby certify that this paper is being deposited this date with the U.S. Postal Service as
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Ralph F. Hoppin Oct. 24, 2001
Ralph F. Hoppin Date